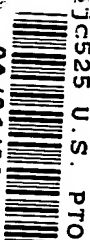


02/06/02



JCS525 U.S. PTO

Form PTO-1390
(Rev. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371Attorney's Docket Number
18744-0005U.S. Application No.
(if known, see 37 CFR 1.5)

10/049301

International Application No.
PCT/EP00/07601International Filing Date
August 4, 2000Priority Date Claimed
August 6, 1999

Title of Invention

NON-HUMAN ADENOVIRAL VECTOR FOR GENE TRANSFER, ITS USE AND THE
PRODUCTION THEREOF

Applicant(s) for DO/EO/US

LÖSER, Peter and HOFMANN, Christian

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), and (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19th month from the earliest claimed priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application into English (35 U.S.C. 371(c)(2))
 - a. ☒ is attached herewith.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 - c. ☐ translation not required as the application was filed in English.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Notification of the Recording of a Change

Express Mail Label No. EL690570163US

Date: February 6, 2002

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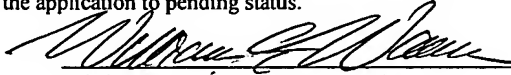
ATTACH CUSTOMER BAR CODE LABEL BELOW



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AO 680431.1

PATENT & TRADEMARK OFFICE

U.S. Application No. 10/049301 International Application No. PCT/EP00/07601	Attorney's Docket Number 18744-0005	
21. <input checked="" type="checkbox"/> The following fees are submitted: <div style="float: right; text-align: right;"> CALCULATIONS PTO USE ONLY </div>		
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.. \$1040.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00
Claims	Number Filed	Number Extra
Total claims	33 - 20 =	13
Independent Claims	2 - 3 =	0
		Rate
		x 18.00
		x 84.00
Multiple Dependent Claims (if applicable)		+ 280.00
TOTAL OF ABOVE CALCULATIONS =		\$1,534.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ -
SUBTOTAL =		\$1,534.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ -
TOTAL NATIONAL FEE =		\$1,534.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$ 0.00
TOTAL FEES ENCLOSED =		\$1,534.00
		Amount to be refunded:
		charged:
a. <input checked="" type="checkbox"/> A check in the amount of \$1,534.00 to cover the above fees is enclosed.		
b. <input type="checkbox"/> Please charge my Deposit Account No. 19-5029 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.		
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 19-5029. A duplicate copy of this sheet is enclosed.		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: William L. Warren, Esq. SUTHERLAND ASBILL & BRENNAN, LLP 999 Peachtree Street, N.E. Atlanta, Georgia 30309 Telephone: 404-853-8000		
 William L. Warren, Reg. No. 36,714		